

# Dolphin-Inspired Target Detection for Sonar and Radar

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Gas bubbles in the ocean are produced by breaking waves, rainfall, methane seeps, exsolution, and a range of biological processes including decomposition, photosynthesis, respiration and digestion. However one biological process that produces particularly dense clouds of large bubbles, is bubble netting. This is practiced by several species of cetacean. Given their propensity to use acoustics, and the powerful acoustical attenuation and scattering that bubbles can cause, the relationship between sound and bubble nets is intriguing. It has been postulated that humpback whales produce ‘walls of sound’ at audio frequencies in their bubble nets, trapping prey. Dolphins, on the other hand, use high frequency acoustics for echolocation. This begs the question of whether, in producing bubble nets, they are generating echolocation clutter that potentially helps prey avoid detection (as their bubble nets would do with man-made sonar), or whether they have developed sonar techniques to detect prey within such bubble nets and distinguish it from clutter. Possible sonar schemes that could detect targets in bubble clouds are proposed, and shown to work both in the laboratory and at sea. Following this, similar radar schemes are proposed for the detection of buried explosives and catastrophe victims, and successful laboratory tests are undertaken.

**Keywords:** sonar, radar, cetacean, dolphin, whale, mines, explosives, nonlinear, wake.

## 1. Introduction

Bubbles are the most powerful naturally-occurring scatterers of sound (LEIGHTON, 2007). Humans have spent over a century researching this interaction for a range of applications (AINSLIE, LEIGHTON, 2009). These include attempts to derive beneficial effects from bubble acoustics, in fields as diverse as: climate science for air/sea transfer (THORPE, 1992; FARMER *et al.*, 1993; PHELPS, LEIGHTON, 1998; DEANE, STOKES, 1999; BROOKS *et al.*, 2009; VAGLE *et al.*, 2010) and seabed methane (KLUSEK *et al.*, 1995; LYONS *et al.*, 1996; TEGOWSKI *et al.*, 2006; MCGINNIS *et al.*, 2006; LEIGHTON, ROBB, 2008; LEIGHTON, WHITE, 2012); the processing and monitoring of pharmaceuticals and food (CAMPBELL, MOUGEOT, 1999; SKUMIEL *et al.*, 2013), and of fuel and coolant (LEIGHTON *et al.*, 2012a); the generation of microfluidic devices (CARUGO *et al.*, 2011); ultrasonic cleaning (LEIGHTON *et al.*, 2005; OFFIN *et al.*, 2014); and, in biomedicine, the provision of acoustic contrast agents and drug delivery vectors (FERRARA *et al.*, 2007), and the use of

cavitation as a therapy monitor (MC LAUGHLAN *et al.*, 2010; LEIGHTON *et al.*, 2008a). Studies also include attempts to mitigate or exploit the detrimental effects of bubbles, for example in the cavitation erosion of turbines and propellers (LEIGHTON *et al.*, 2003; SZANTYR, KORONOWICZ, 2006), ship noise and its environmental impact (KOZACZKA, GRELOWSKA, 2004; PARKS *et al.*, 2007; GRELOWSKA *et al.*, 2013), and the sonar clutter that oceanic bubbles can produce. With improvements in computing resources, and increases in the power of sonar sources and the bandwidth of receivers (KOZACZKA, GRELOWSKA, 1999; AINSLIE, 2010), it became clear that the bubbles can readily be driven to produce nonlinear effects (LEIGHTON *et al.*, 1997; 2004a; LAUTERBORN *et al.*, 2008; BARANOWSKA, 2012), although the models used in sonar studies to describe such scattering were predominantly linear and steady state (CLARKE, LEIGHTON, 2000; AINSLIE, LEIGHTON, 2011). This paper reviews investigations into whether the inherent nonlinearity in bubble acoustics can improve sonar performance in bubbly water.

In the last twenty years, military sonar that was designed in the Cold War for the deep, dark, quiet waters of the Arctic, has been deployed in shallow coastal regions (LEIGHTON, BALLERI, 2012), where its operation is compromised by the presence of bubbles from breaking waves, river outflows, biological activity and decomposition etc. Whales and dolphins have however spent millions of years evolving acoustic systems to work in such environments. This paper describes how speculation on whether humpback whales hunt by blowing bubble nets to form impassable walls of sound, led to further speculation of how dolphins echolocate successfully when they use bubble nets. This in turn led to the development of the world's only manmade sonar system that can operate in bubbly water; and, furthermore, to radar technology for the detection of buried explosives and catastrophe victims.

## 2. Do whales call in spirals?

This study was stimulated around 15 years ago by an old aerial photograph (Fig. 1a; WILLIAMS, 1988) of a humpback whale generating what appeared to be a circular net of bubbles. This well-known process is used by the whales to catch prey that do not cross

the wall of bubbles, and so are trapped within the 'bubble net', making an easy meal for the whales when they swim up from the base of the net, mouths agape (SHARPE, DILL, 1997; VALSECCHI *et al.*, 2002). The reason why fish and other prey do not cross the bubble wall was not clear. Consequently the following hypothesis was formed: if whales were to generate loud signals in the appropriate frequency range, these would be trapped within the net, forming a 'wall of sound' that the prey would not cross (particularly if the frequencies resonated with their swim bladders) (LEIGHTON, 2004; LEIGHTON *et al.*, 2004b). This hypothesis was strengthened by two subsequent observations. The first was that the calls that whales made when they hunted with bubble nets were unlike the calls they made at any other time, and moreover these calls are very loud containing energy up to the low kHz regime (which assists in trapping the sound). The second was that more recent photographs revealed that the bubble nets tended to have spiral, not circular, geometry (Fig. 1b-d). Ray theory indicated that the spiral net in the photograph not only generated an acoustic trap, but produced a quiet zone (Fig. 2; LEIGHTON *et al.*, 2007a; 2007b). This quiet zone, which is where presumably the prey congregate coincided with the location where the whales lunge

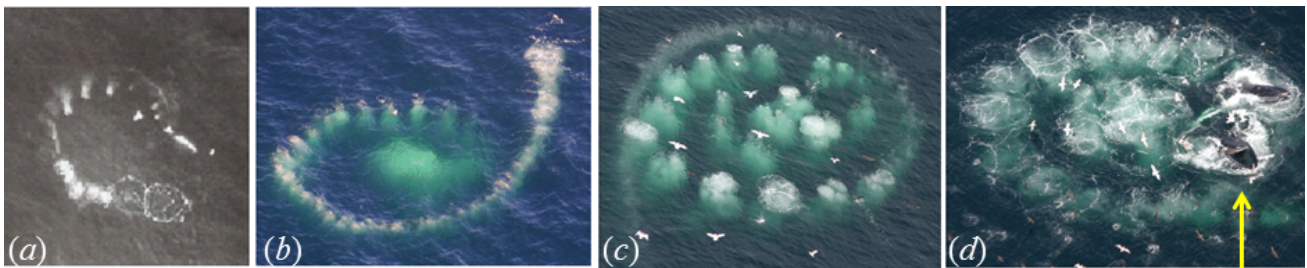


Fig. 1. (a) Aerial view of a humpback bubble net (photograph by A. Brayton, reproduced from WILLIAMS (1988)). (The author has obtained permission from the publisher but has been unable to contact the photographer.) (b)–(d) Three images illustrating the formation of a spiral bubble net, the feeding whales breaking the surface (above the yellow arrow) in frame (d). (Photographs (b)–(d) by Tim Voorheis/www.gulfofmaineproductions.com. Photographs were taken in compliance with United States Federal regulations for aerial marine mammal observation).

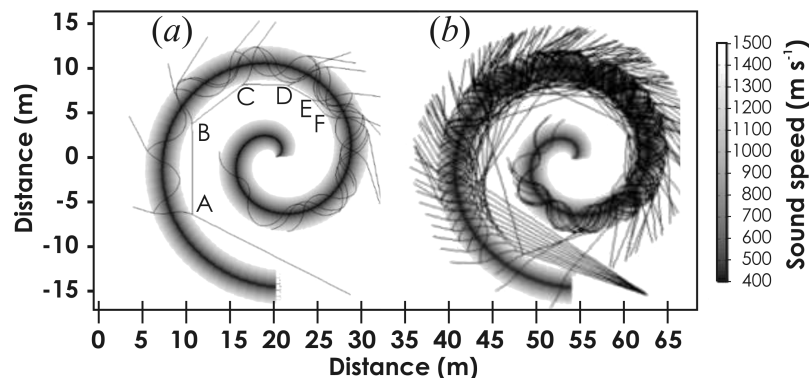


Fig. 2. Plan view of 2D spiral bubble net. (a) A single ray is launched. It reflects off the outer wall of the bubble-free arm of the spiral, the grazing angle decreasing each time ( $34^\circ$  at A;  $29^\circ$  at B;  $23^\circ$  at C;  $19^\circ$  at D;  $16^\circ$  at E;  $13^\circ$  at F). At each reflection, not only does a reflected ray propagate further into the bubble-free arm, but a refracted ray propagates into the bubbly-arm of the spiral. Attenuation is not included. (b) A beam of rays is launched into the spiral. The spiral generates clear regions which are both bubble-free and quiet (see LEIGHTON *et al.* (2007a; 2007b), for details).

feed in Fig. 2d (the same net was used to generate the geometry of Fig. 2). Although none of the hypotheses in this unsponsored work were proven, they produced a significant amount of public attention (THEUNISSEN, HABERSHON-BUTCHE, 2008; BASS *et al.*, 2009). In particular it informed the issue of the scattering by bubbles of the higher frequencies associated with dolphin echolocation, the topic of Sec. 3.

### 3. Does dolphin echolocation ever benefit from nonlinearities?

Humpback whales use sound for communication and (as described in Sec. 2) putatively to herd prey. If this occurred, they would be aided by the fact that at the frequencies in question (audio frequencies and lower) the scattering and refraction of the sound field can be used to shape the sound field to form the acoustic net. Dolphins, however, exploit higher frequencies in order to echolocate, and at such frequencies scatter by bubbles produces strong clutter.

The authors were therefore intrigued by TV footage (BYATT *et al.*, 2001) that showed dolphins hunting with bubble nets (Fig. 3). This activity raised a formidable question. Unlike humpback whales, dolphins and porpoises use short sonar pulses of higher frequency (up to about 100 microsecond duration, with centre frequencies up to roughly 100 kHz, the upper limits depending on the species). Whilst a bubble net would be a boon for shaping the long-duration feeding calls of the humpback whales to form acoustic traps, the best manmade echolocating sonar of the day would not work in the bubble nets the dolphins were making. This is because the bubbles would scatter the sonar pulses so strongly as to generate clutter, making it impossible to identify the true targets (the fish). If a dolphin were to be considered as just a sonar system (e.g. in terms of power, bandwidth etc.), it is ‘mediocre’ compared to the best manmade sonar (AU, MARTIN, 2012). Therefore the existence of film footage showing dolphins bubble netting raised a dilemma that did not occur

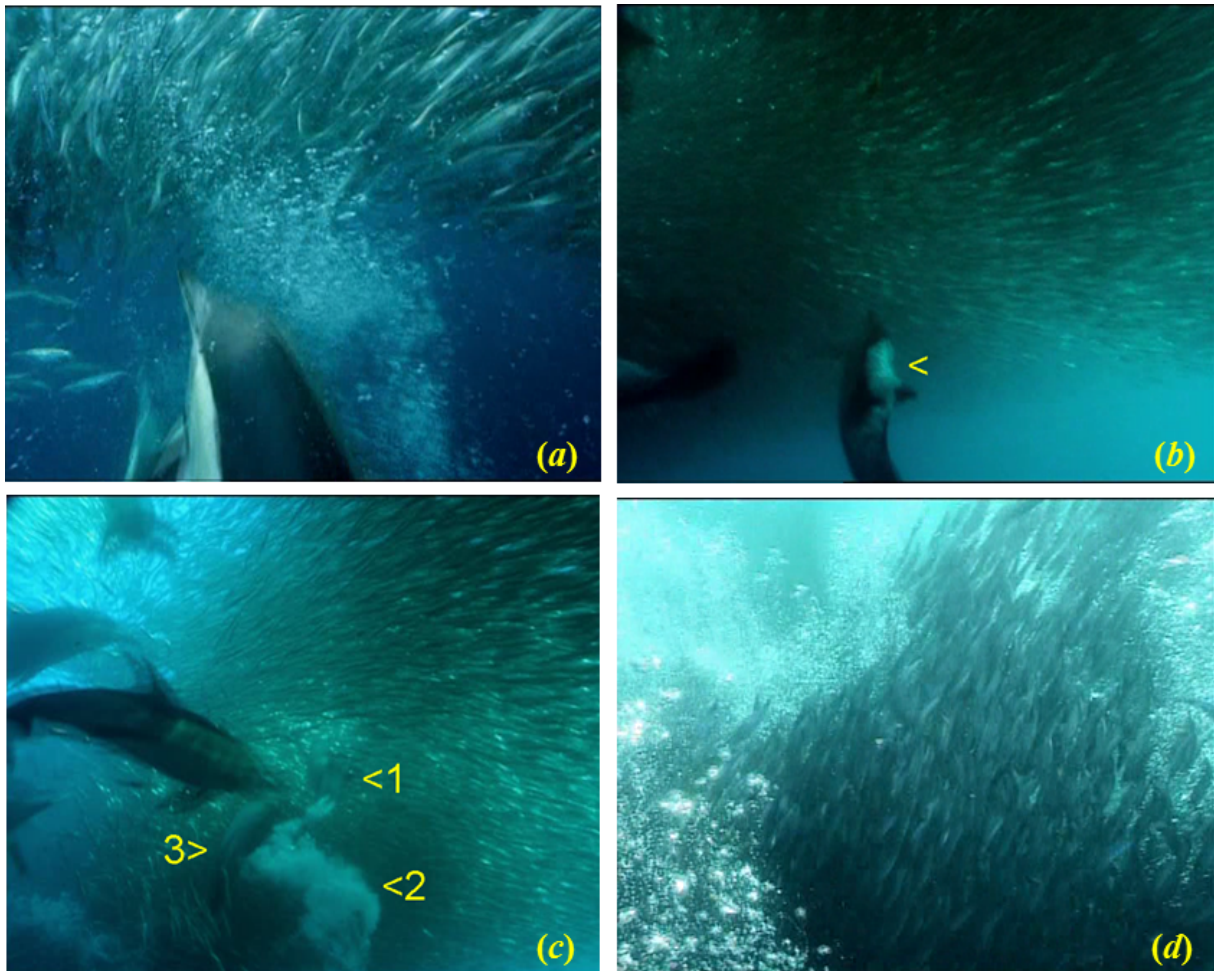


Fig. 3. (a) Common dolphins herd sardines with bubble nets. (b) A dolphin starts to release a cloud of bubbles (arrowed) from its blowhole. A moment later (c) the dolphin (1) swims on, leaving behind the expanding cloud (2). Other dolphins (incl. 3) enter the frame. (d) The sardines school within a wall of bubbles and are trapped. Images courtesy of The Blue Planet (BBC).

for the humpback whales (who do not exploit high frequencies to echolocate to find prey, as dolphins do). That dilemma is that, when forming bubble nets, either the dolphins are ‘blinding’ their most spectacular sensory apparatus when hunting (their sonar), or they have some unknown ability that allows their sonar to distinguish fish from bubble clutter.

We know that, despite only modest hardware, the dolphins have extraordinary sonar capabilities. The source of this performance is not clear, but the dolphin has several clear advantages: the sonar is mounted on a “platform” (the dolphin’s body) that is very agile and so can interrogate a target from many different angles; and the processing is undertaken in a brain that has evolved over around 10 million years in an oceanic environment. In the underwater bubble clouds that dolphins contend with under breaking waves or in bubble nets, the bubbles can easily cause the speed of sound to vary by a factor of 2 over sub-second timescales (BIRKIN *et al.*, 2003; LEIGHTON *et al.*, 2007b), causing not just clutter but range ambiguities and artifacts. Yet the fact is that, rather than avoid such environments, some species of dolphins have specialized to hunt in shallow coastal waters where breaking waves occur, and some have evolved to hunt with bubble nets

In the absence of data taken on echolocation whilst wild dolphins hunt, we addressed the question of whether it was possible to design any sonar signal that could detect targets in bubble clouds. We proposed that a sonar signal might be able to distinguish between fish and bubble clutter if it consisted of two closely-spaced pulses that were identical except that the second had opposite polarity with respect to the first, a scheme we called TWIPS (the Twin Inverted Pulse Sonar) (LEIGHTON, 2004; LEIGHTON *et al.*, 2010).

Simply put, the scheme relies on the fact that, when driven by a sufficiently strong acoustic field, bubbles scatter the sound nonlinearly. Bubbles are mechanical oscillators: when driven by the oscillating pressure of a sonar pulse, they expand and contract, and the inertia associated from this motion is invested primarily in the surrounding liquid (which must move as the bubble changes volume), whilst the stiffness of the bubble oscillator is provided by the gas (which, when compressed, resists that compression). However the bubble is only a linear oscillator when driven to pulsate at infinitesimal amplitude, because the stiffness of the gas varies with the amplitude of bubble wall displacement (LEIGHTON *et al.*, 2010). As a crude extreme example, the bubble can for example expand as far as it likes, but cannot be compressed to a size smaller than its initial volume. In practice the nonlinearity is observable in the scattered signal at very small amplitudes of pulsation. At such a time, the scattering from the bubble is not simply a linear function of the incident sonar signal, but is better described by a func-

tion containing quadratic, cubic and even higher nonlinearities.

In the following discussions, the pulses will be represented by numbers, for illustrative purposes: for the full explanation, where the waveform is mathematically described, see references (LEIGHTON *et al.*, 2010; 2012b). Consider the TWIPS signal: if the first pulse is represented by ‘+1’, then the second pulse is represented by ‘−1’ since it is identical except that it has opposite phase (Fig. 4a). The fish will scatter the two pulses linearly and (in over-simplified terms) if the dolphin were to subtract the echo of the second pulse from the echo of the first (to form a signal we will call  $P_-$ ), the scattering from the fish would be strong [ $1 - (-1) = 2$ ]. However even though the quadratic nonlinearity in the scatter from the bubbles will not appear in the  $P_-$  signal [ $1^2 - (-1)^2 = 0$ ], nevertheless the linear component of the bubble clutter will feature strongly in  $P_-$ . Therefore to distinguish the linearly scattering fish from the bubble scatter, the dolphin would add the same two echoes to form  $P_+$ . Objects that are linear scatterers (e.g. fish at these high frequencies) will disappear [ $1 + (-1) = 0$ ], as will the linear component of the scatter from bubbles, but the nonlinear quadratic component of bubbles will remain [ $1^2 + (-1)^2 = 2$ ]. Fish will have been identified by the fact that they are strong in  $P_-$  but weak in  $P_+$  [In practice, because bubbles are such powerful scatterers, enhancing their scatter using  $P_+$  is not difficult, and this technique is now routinely used in biomedical imaging (BURNS *et al.*, 2006); however suppressing their scatter using  $P_-$  is not so simple, and LEIGHTON *et al.*, (2010) used the function  $P_-/P_+$  to achieve this]. Although in principle it is not required, the interpretation of the signals is aided by filtering the returned signals around the first harmonic before subtraction (to form  $P_{1-}$ ), and filtering them around the second harmonic before addition (to form  $P_{2+}$ ). The power of the techniques comes, not just from the differences between the two pulses (which is nevertheless key, in that they provide different signals from linear and nonlinear scatterers), but also, crucially, from their similarity, because that similarity enables certain combinations of the echoes to generate zeros, so enabling high contrast and ready identification of linear and nonlinear scatterers.

The use of two pulses to interrogate the nonlinearity means that the incident waveform can have very great bandwidth, and for example consist of frequency modulated chirp signals that extend for over an octave: a sensor based on nonlinear scattering of just one waveform cannot cover such a wide bandwidth (and so benefit from enhanced resolution) because of the spectral ambiguities that would result.

To formulate the processing more rigorously, consider two pulses ( $\psi_1(t)$  and  $\psi_2(t)$ , each of duration  $T$  and with an inter-pulse time  $\tau$ ). If  $\psi_2(t) = \Gamma\psi_1(t)$ , then TWIPS signals are generated if  $\Gamma = -1$ . In re-

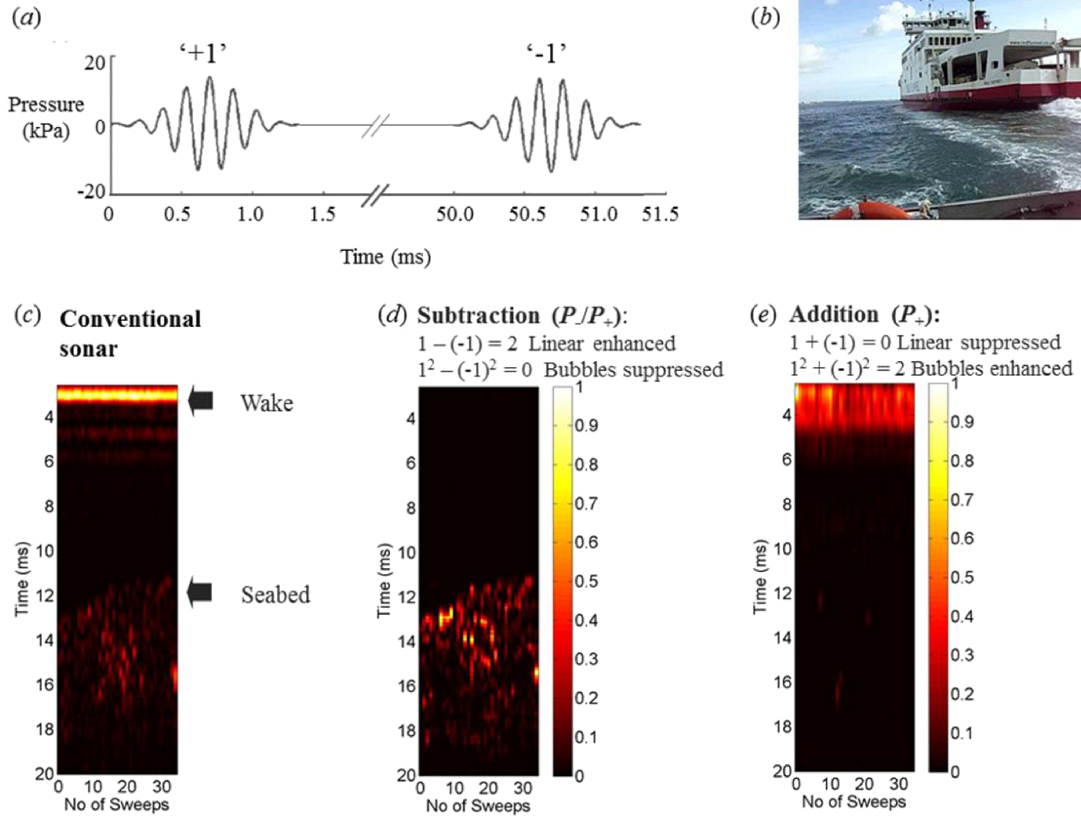


Fig. 4. (a) The two sonar pulses used in this particular test, as measured 2.6 m in front of the sonar in clear water in a test tank. The 50 ms interval between pulses is chosen to be sufficiently short that the environment (especially the bubbly wake) has not evolved too much between the two pulses as to make TWIPS inoperable, but long enough to mean that, for ranges up to around 37.5 m, only one pulse is in the water at once, avoiding range ambiguities. (b) Photograph of the stern of the MV Red Osprey as the vessel containing the TWIPS sonar entered its wake, moments before the data of panels (c)–(e) were taken. In panels (c)–(d), the same set of data is processed three ways. Pairs of TWIPS pulses are emitted with 1 s between the start of each pair, and the number of the pair is recorded on the horizontal axis. The two-way time of flight (a proxy for the range) is given on the vertical axis. The panels show the result of processing (c) in a conventional sonar manner, (d) using the  $P_-/P_+$  TWIPS function, and (e) using the  $P_+$  TWIPS function. For details on the processing and the colour scale, see LEIGHTON *et al.* (2010).

sponse to the outgoing signal  $\Psi(t) = \psi_1(t) + \Gamma\psi_1(t - \tau)$  each scatterer produces at the receiver a contribution to the echo that takes the form  $y(t) = y_1(t) + y_2(t - \tau)$  in which  $y_k(t)$  (where  $k = 1, 2$ ) represents the convolution of the incident pulse and the impulse response function, specifically  $y_k(t) = h(t) * \psi_k(t) = \int h(t - t')\psi_k(t') dt'$ . Assume that the detection system uses a matched filter (BURDIC, 1984) that is scaled such that its overall gain is unity. In such circumstances, if the outputs of the matched filter for  $y_k(t)$  are denoted  $Y_k(t)$  where  $k = 1, 2$ , it follows that  $Y_2(t) = \Gamma Y_1(t)$ . For linear scatterers,  $y_2(t) = h(t) * \psi_2(t) = \Gamma y_1(t)$  and  $Y_2(t) = \Gamma Y_1(t)$ . As indicated above, the power of TWIPS rests not so much in the difference between the pulses, but in their similarity, so that knowledge of the  $\Gamma$  used in the emitting pulses allows the linear scattering to be reduced to zero (or as close as noise, reverberation etc. allow) by forming the TWIPS function  $Y_1(t) - Y_2(t)/\Gamma$ , the smoothed amplitude of which is denoted  $P_-$ . This allows the linear

scatterers to be distinguished from the bubbles, where no such simple scaling occurs.

The function  $P_{M-}$  is the end-product of a four-stage process, specifically: (i) dividing the echo of the second pulse by  $\Gamma$ , (ii) subtracting the echo of the second pulse from that of the first, then (iii) applying a band filter (that has a centre frequency that is  $M$  times the centre frequency of the incident pulses) to the result, and then (iv) taking the temporal average of the envelope of the resulting signal over the duration ( $T$ ) of the pulse. In similar vein,  $P_{N+}$  is obtained by: (i) dividing the echo of the second pulse by  $\Gamma$ , (ii) adding the echo of the first pulse to that of the second, then (iii) applying a band filter (that has a centre frequency that is  $N$  times the centre frequency of the incident pulses) to the result, and then (iv) taking the temporal average of the envelope of the resulting signal over the duration ( $T$ ) of the pulse.

A sequence of simulation and tank tests were undertaken, before finally towing a downwards-looking

TWIPS sonar through the wakes of large passing ships of opportunity (LEIGHTON *et al.*, 2010; 2011), attempting to see the linearly-scattering seabed. One such ship was the Isle of Wight car ferry, the *MV Red Osprey* (Fig. 4b). This vessel has 3953 gross register tonnage, and is 93.22 m in length and has 17.5 m beam, having a capacity for 895 passengers plus 220 cars. The conventional sonar signal was dominated by the bubbles in the wake (Fig. 4c), with the linearly scattering seabed barely visible. Without the prior knowledge that the wake sits above the seabed, there is nothing inherent in the conventional sonar return (Fig. 4c) to identify which is which, and the implications of identifying fish in a dolphin bubble net are clear.

However from the logic outlined above, a preliminary identification of the seabed can be made by the feature that stands out strongly when the second pulse is subtracted from the first to form the TWIPS signal  $P_-/P_+$  (Fig. 4d). This preliminary identification is confirmed by the secondary test, that said feature disappears when the two pulses are added together to form  $P_+$  (Fig. 4e). The bubbles in the wake are identified because they exhibit the opposite behavior.

Although this represented the world’s first sonar that could distinguish linearly scattering targets from bubble clutter in ships wakes, these tests had not proven that dolphins benefit from nonlinearities when echolocating bubble clouds: it had only shown that a sonar relying on such principles could achieve the task. There was no conclusive proof that dolphins used a TWIPS-like process. Pairs of pulses have been recorded from some species of dolphin, where the phase of the second is the inverse of the first, and if these were of sufficient amplitude they could be used in a

TWIPS-like processing regardless of whether the dolphins generated the second pulse directly or whether it was caused by a reflection of the first pulse from the air/water interface. There was no evidence that the pulses from such species were of sufficient amplitude to generate bubble nonlinearities, and whilst some dolphins can generate pulses of sufficient amplitude to drive bubbles nonlinearly, there was no evidence that these species generated pairs of pulses with phase inversion (LEIGHTON *et al.*, 2010; FINFER *et al.*, 2012). Therefore whilst a successful sonar had been made using  $\Gamma = -1$  in the above scheme to generate TWIPS, there is no hard evidence that any dolphins emit pulse trains characterized by  $\Gamma = -1$ . However they are known to vary their pulse amplitude in a train, an output that can be described in the above formulation by using  $0 < \Gamma < 1$  (or indeed  $>1$ , if the second pulse has greater amplitude than the first, although for simplicity we will restrict the formulation here to  $0 < \Gamma < 1$ ). That is to say, many echolocating dolphins emit trains of clicks in rapid succession, clicks that are similar in form except that the amplitude varies. Such variation has been known about for years, but without adequate explanation. We proposed that, rather than being an accident, this amplitude variation might purposefully supply pairs of pulses with another difference that could exploit the fact that bubble clutter scatters nonlinearly, whilst the fish do not (LEIGHTON *et al.*, 2012b).

We tested a range of pulses, in test tanks and simulation (CHUA *et al.*, 2012; LEIGHTON *et al.*, 2012b). Figure 5 shows a pulse pair based on clicks, where each click in the pair consists of two synchronous downchirps, each covering a distinct frequency range,

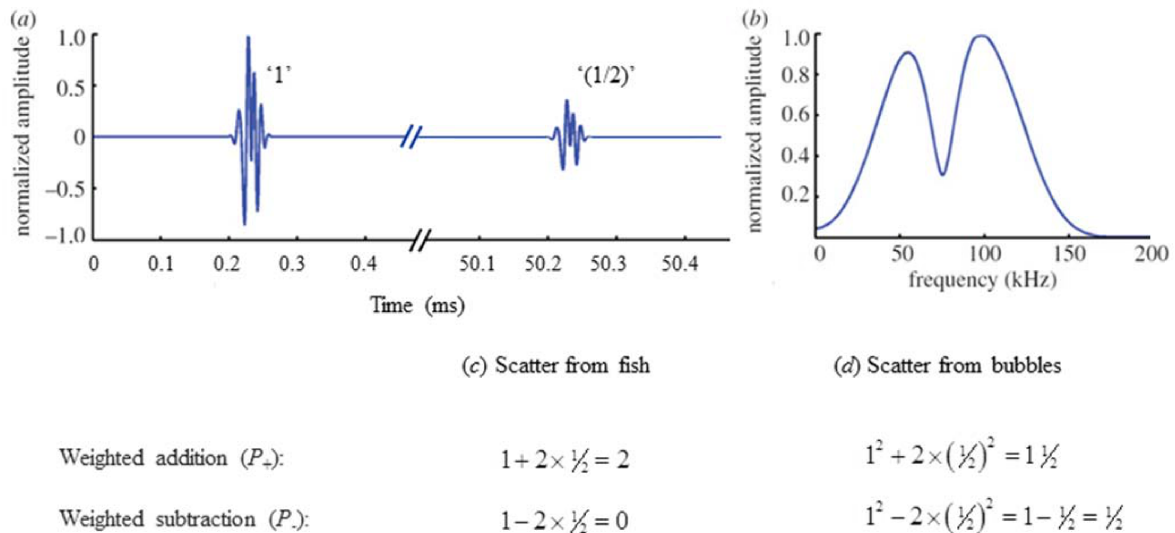


Fig. 5. (a) A pulse pair made up from two clicks, each click being a commonly-accepted representation of a click from an Atlantic Bottlenose dolphin. For this illustration, the second pulse is identical to the first, but with half the amplitude. (b) The spectrum of the first click, which has a peak-to-peak amplitude of approximately 226 dB re 1  $\mu$ Pa m. The BiaPSS scattering from (c) fish and (d) bubbles, are shown.

and as such is commonly accepted to typify a form of emission from the Atlantic bottlenose dolphin (*Tursiops truncatus*; CAPUS *et al.*, 2007). Whilst substitution of  $\Gamma = -1$  in the above formulation described TWIPS, use of  $0 < \Gamma < 1$  described BiaPPS (Biased Pulse Summation Sonar). Just as TWIPS could be explained in an oversimplified manner using ‘+1’ and ‘-1’ for the outgoing pulses, so too can BiaPPS in the following manner. Consider the case when the second pulse has an amplitude that is, say, one half that of the first pulse, but they are in other ways very similar, then consider how they scatter from fish (Fig. 5c) and bubbles (Fig. 5d). The fish scatters back a number ‘1’ immediately followed by a ‘ $1/2$ ’ (from the first and second pulses consecutively), whilst the bubbles scatter back number ‘ $1^2$ ’ immediately followed by a ‘ $(1/2)^2$ ’. Since bubbles are strong acoustic scatterers, for conventional sonar the fish will be hidden by the ‘clutter’ that the bubbles cause. This is rectified in two stages, first by making the fish more easily detectable. This is done by multiplying the second echo by 2 (or whatever was the ratio of the amplitudes of the two pulses sent out) and then adding it to the first echo. The echo from the fish is amplified more strongly ( $1 + 2 \times 1/2 = 2$ ) than is the echo from the bubbles ( $1^2 + 2 \times (1/2)^2 = 1 1/2$ ).

However although this might make the sonar echo from the fish detectable, it does not help distinguish it from the strong echoes produced by the bubbles. This is rectified in the second stage of processing, wherein (having identified a possible fish but wishing to ensure it is not a bubble cloud), the second echo is first multiplied by 2 and then subtracted from the first echo. If the target is a real fish, it becomes invisible to the sonar ( $1 - 2 \times 1/2 = 0$ ), whilst if it is a bubble it remains ( $1^2 - 2 \times (1/2)^2 = 1 - 1/2 = 1/2$ ). In this way, by the addition and subtraction of the same two sonar echoes, the fish can be detected and distinguished from the bubbles.

We developed a practical sonar system using this BiaPPS (Biased Pulse Summation Sonar) that, like TWIPS, was effective at enhancing the detectability of fish in bubble clutter, and distinguishing them from clutter (LEIGHTON *et al.*, 2012b). Given that modern amplifiers and emitters tend to more easily produce high fidelity copies of pulses that have differing amplitudes, as opposed to opposing phases, BiaPPS sources were more practical to produce than TWIPS sources.

#### 4. The implications of TWIPS and BiaPPS

By the 1990s we had a sonar heritage from the Cold War, where systems were designed for detecting large submarines crossing through the deep, dark, quiet waters under the polar icecap between Soviet and NATO waters. These were unsuited to detecting small targets in the turbid shallow waters that had come

to characterize more recent naval engagements: Fig. 6 clearly shows how the coastal waters of the Gulf contain suspended muddy sediments brought down by the Tigris and Euphrates, and whilst suspended muddy sediment can degrade sonar performance (RICHARDS *et al.*, 2003), bubbles generated by breaking waves and biogenic processing etc. cause far greater problems to sonar (RICHARDS, LEIGHTON, 2001).

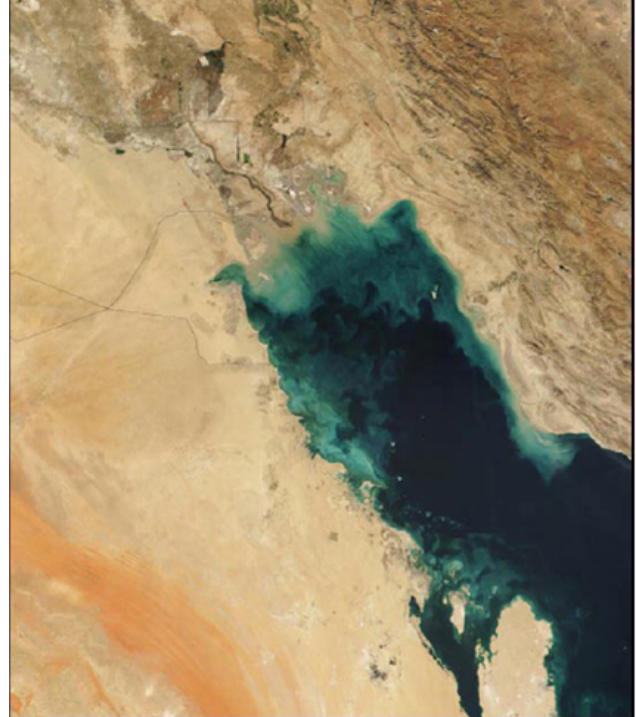


Fig. 6. True-colour satellite image (from the Moderate Resolution Imaging Spectroradiometer MODIS carried by NASA) of sediment carried by the Tigris and Euphrates Rivers. The sediment laden waters of the Persian Gulf (November 1, 2001) appear light brown where they enter the northern end of the Persian Gulf and then gradually dissipate into turquoise swirls as they drift southward (Image courtesy Jacques Desclotres, MODIS Land Rapid Response Team at NASA GSFC).

Figure 7 (image adapted from BACHKOSKY *et al.*, 2000) records the cost of the damage to three vessels in the Gulf, compared to the cost of the mine of the type that caused that damage (there was also injury and loss of life). The contrast in cost is stark, although the complexity of deployment needs to be considered: although the deployment of a minefield requires purchase of many mines, of which only one might cause damage, the suspicion that a minefield exists (even where it does not) can delay operations and slow the delivery of humanitarian aid, hamper trade, and tie up resources. In 1988, a simple contact mine costing \$1,500 (an Iranian SADAF-02) almost sank the *Samuel B. Roberts* (FFG-58), causing nearly \$96 million of damage. During the first Gulf War, Iraq laid 1242 mines and even though many were nonfunctional or ineffec-

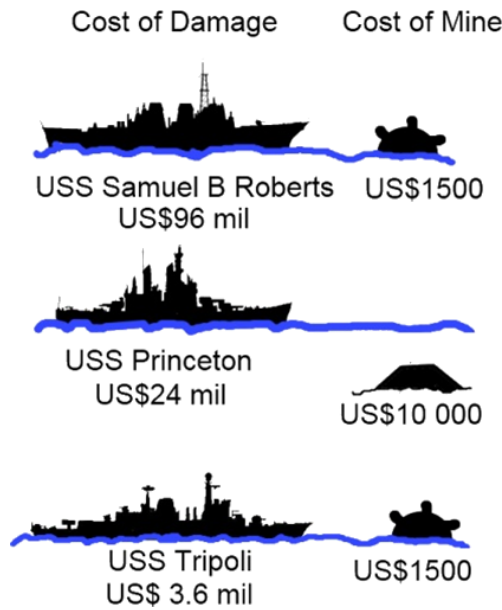


Fig. 7. Comparison of just the financial damage done to three vessels and the cost of the mines that caused them (image adapted from BACHKOSKY *et al.* (2000)).

tively laid, three mines seriously damaged two U.S. warships, *Princeton* (CG-59) and *Tripoli*. These mines were laid in water 20–50 m deep. The implications of providing a type of sonar that can operate in bubbly shallow waters are clear.

From the earliest days, however, we recognized that the equations governing the TWIPS and BiaPSS detection and classification schemes discussed in this paper were generic and not specifically linked to sonar, and as soon as we had evidence that TWIPS worked, we published the possibility that they might be used with lidar to detect combustion products, with MRI to discriminate between tissues, and with radar to detect covert electronics (covert bugging devices, and the threat of improvised explosive devices – IEDs – being particularly germane) (LEIGHTON *et al.*, 2007c; 2008b). We experimentally tested the concept of TWIPR (Twin Inverted Pulse Radar) by emitting pairs of radar pulses, the second being identical to the first but having inverted phase (Fig. 8) at various targets. In this case the target of interest is a nonlinear scatterer, and the clutter is the linear scatterer (which practically speaking could be soil, vegetation, clothing etc.), or nonlinear scatterers in which we are not interested.

Figure 9 shows (a) the arrangement of the source and the receiver with a mobile phone in the position of the target, (b) a ‘target of interest’, dipole+diode target that is typical of the sort of circuitry we wish to detect (a half-wavelength dipole which resonates at 2 GHz and whose input terminals are connected to a BAT54 Schottky diode), (c) a large sheet of aluminium and (d) a rusty bench clamp. The latter two (Fig. 9c, d) are representative of clutter that might be buried near

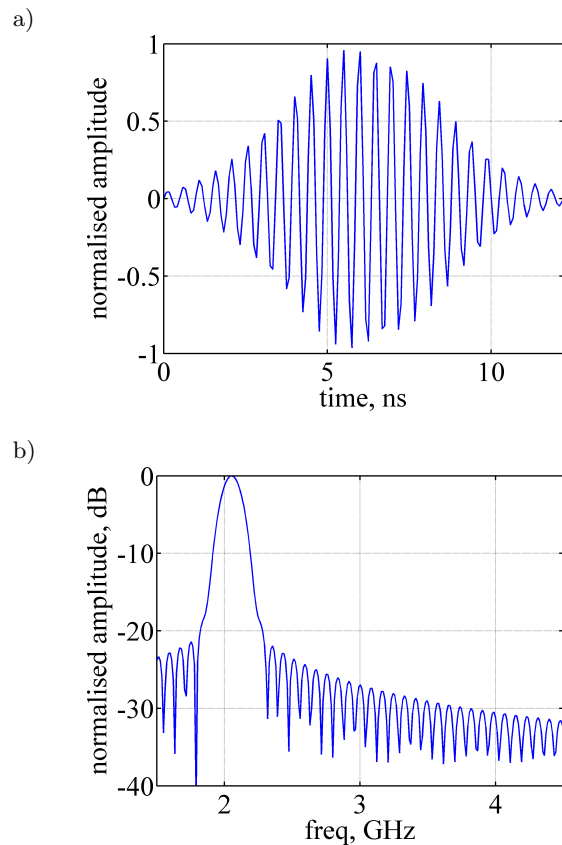


Fig. 8. (a) Time history of one radar pulse in the pair, showing the normalised amplitude of the Gaussian-modulated waveform used. (b) The normalised amplitude (in dB) of the waveform used in frequency domain. The measured power referenced to 1 mW measured at 1 m is 35 dB m.

the target of interest (Fig. 9b), because it is important that TWIPR not only detects the ‘target of interest’ but can also distinguish it from other buried scrap that might otherwise cause false alarms, too many of which can compromise an operation. In principle such discrimination should be possible because, as Fig. 10 shows, different objects scatter radar with differing nonlinearities. TWIPR might therefore distinguish soil and vegetation (which scatter radar linearly) from semiconductors (which generate odd and even harmonics when scattering radar pulses). Moreover, these scatterers could also be discriminated from rusty metal, which predominantly generates odd harmonics.

The results when the pulses are processed by TWIPR are shown in Fig. 11, which plots  $P_{2+}/P_{1-}$  (expressed in dB). Consequently, the colours for which  $P_{2+}/P_{1-} < 1$  (the blues) indicate scattering that is predominantly linear, and the colours for which  $P_{2+}/P_{1-} > 1$  (the yellows, oranges and reds) indicates that the detected scattering that is predominantly nonlinear (the receiver could only detect up to the quadratic nonlinearity). The ‘target of interest’ is by far the most nonlinear feature, more than 28 dB stronger than the next most nonlinear item, and over



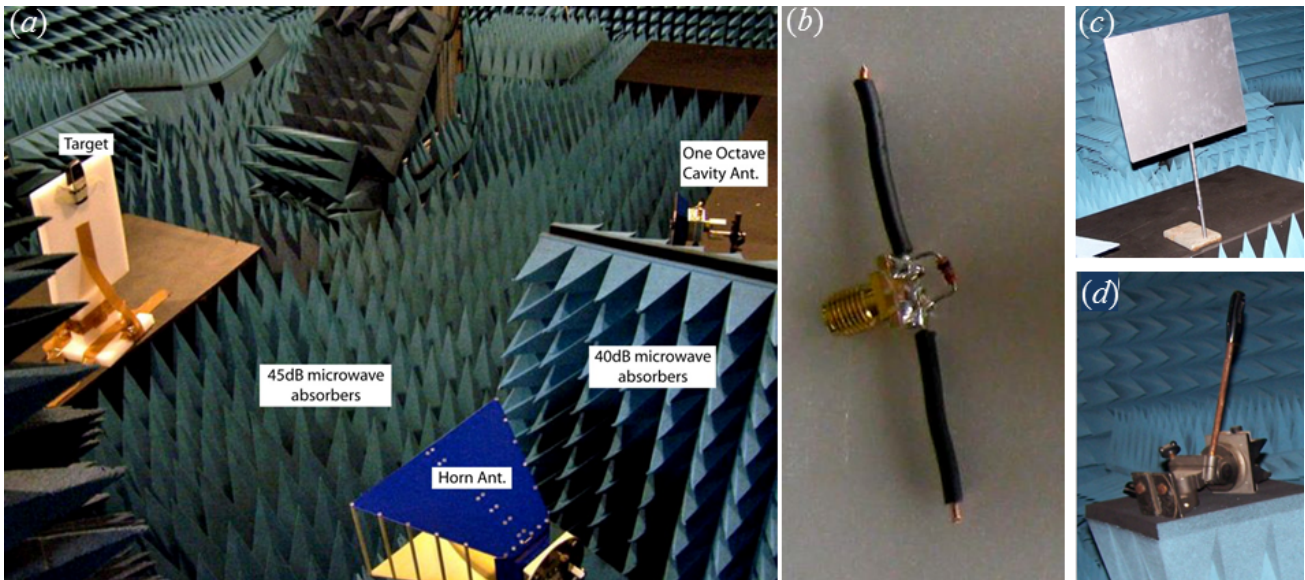


Fig. 9. (a) The general experimental layout, and the targets: (b) a BAT54 Schottky diode (dipole+diode target); (c) a piece of  $34 \times 40$  cm<sup>2</sup> aluminium plate mounted on an iron stand; and (d) a rusty bench clamp. One of the mobile phones can be seen in the target position in panel (a).

<p>(a) Twin inverted pulses irradiate:</p>	Soil/vegetation	Semiconductor	Rusty metal
<p>(b) Echo contain energy at:</p>	Fundamental	All harmonics	Odd harmonics
<p>(c) Add the two pulses of the echo:</p>	$1 + (-1) = 0$ (suppressed)  Weak in $P_{1+}$ Weak in $P_{2+}$ Weak in $P_{3+}$	$1 + (-1) = 0$ (suppressed) $1^2 + (-1)^2 = 2$ (enhanced) $1^3 + (-1)^3 = 0$ (suppressed)  Weak in $P_{1+}$ <b>Strong in <math>P_{2+}</math></b> Weak in $P_{3+}$	$1 + (-1) = 0$ (suppressed)  $1^3 + (-1)^3 = 0$ (suppressed)  Weak in $P_{1+}$ Weak in $P_{2+}$ Weak in $P_{3+}$
<p>(d) Subtract the two pulses of the echo:</p>	$1 - (-1) = 2$ (enhanced)  Strong in $P_{1-}$ Weak in $P_{2-}$ <b>Weak in <math>P_{3-}</math></b>  (i)	$1 - (-1) = 2$ (enhanced) $1^2 - (-1)^2 = 0$ (suppressed) $1^3 - (-1)^3 = 2$ (enhanced)  Strong in $P_{1-}$ Weak in $P_{2-}$ Strong in $P_{3-}$  (ii)	$1 - (-1) = 2$ (enhanced)  $1^3 - (-1)^3 = 2$ (enhanced)  Strong in $P_{1-}$ Weak in $P_{2-}$ Strong in $P_{3-}$  (iii)

Fig. 10. Schematic of the expected characteristic for using TWIPR to distinguish between linear scatterers (here exemplified by soil and vegetation), semiconductors, and rusty metal.

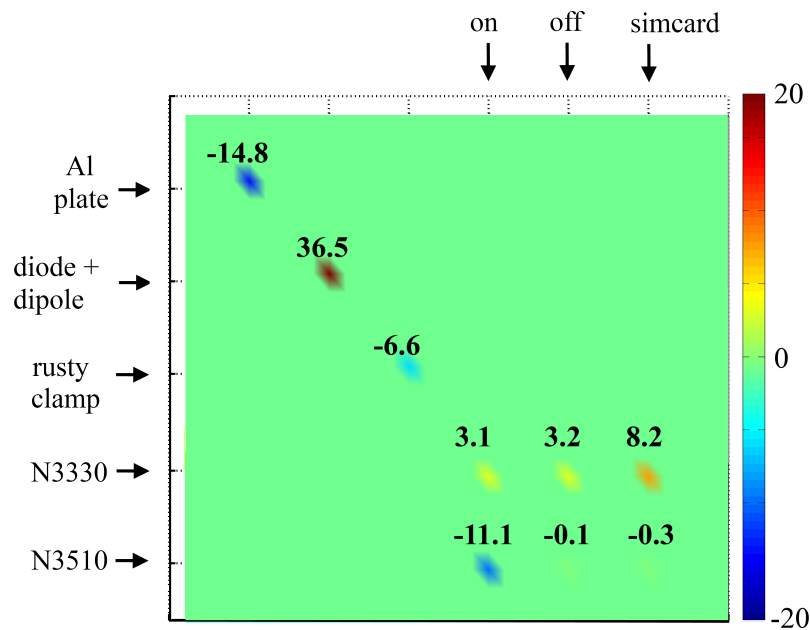


Fig. 11. Colour map of the ratio  $P_{2+}/P_{1-}$  expressed in dB for the targets studied here. The dB value of the ratio for each target is denoted above the target position: note that the signal from the dipole + diode target is so strong that it exceeds the +20 dB full-scale deflection of the plot. The amplitudes of  $P_{1-}$  have been normalised by a value of  $10^7$  so as to obtain a meaningful comparison with  $P_{2+}$ . For details see LEIGHTON *et al.* (2013).

50 dB stronger than the massive aluminium plate. For further details, see LEIGHTON *et al.* (2013).

Preliminary results are also shown for two models of mobile phone, when they are ‘on’, ‘off’, and ‘on but with a simcard that is not genuine’. These are only preliminary results as the effect on nonlinear scattering generated by TWIPR pulses when mobile phones communicate with base-stations is beyond the scope of this article. Furthermore, this investigation was limited in that it only had access to a narrowband radar source, so that it could not for example use a chirp to identify resonances in the circuitry of the mobile phone, and exploit the nonlinearities that could be excited at those resonances. A broadband radar system would therefore allow the ‘TWIPR fingerprint’ of a range of common devices to be logged. This has implications for the rescue of buried catastrophe victims. Consider the fact that the diode+dipole target shown in Fig. 9b measures 6.3 cm in length, weighs 2.8 g, costs less than 1 Euro, is very simple to manufacture and requires no batteries. Given these features, and the fact that they can easily be tuned to scatter specific resonances to provide a unique identifier to a broadband TWIPR pulse, they offer the possibility of tags for animals or autonomous vehicles hidden in foliage, underground or in infrastructure (pipelines, conduits, etc.); and for humans entering hazardous areas, particularly where they might be underground or buried. If a hazard is expected (for rescue workers, miners or climbers in avalanche areas), tags can be carried, tuned with a number of resonances so that each carrier can be identified by their TWIPR fingerprint. The results

of Fig. 11 suggest that, if tags are not carried, TWIPR can carry the bandwidth to search for mobile phone resonances, and so offer the possibility of locating victims by identifying the TWIPR scatter from their mobile phones (e.g. in collapsed buildings), even when the phones are turned off, damaged, or the batteries have no charge remaining. Furthermore, if the TWIPR fingerprint of the item that is used to locate and identify the carrier (a tag, a phone etc.) is known, then it could be distinguished from other circuitry in the vicinity (clutter).

## 5. Conclusions

These preliminary tests demonstrate sonar and radar schemes for detecting and distinguishing nonlinear and linear targets and clutter in various combinations. These include linear targets in nonlinear clutter (for TWIPS and BiaPSS) and nonlinear targets in linear clutter (TWIPR). Given sufficient bandwidth and knowledge of the TWIPS/BiaPSS/TWIPR fingerprint, one type of nonlinear scatterer might be distinguished from another, for example in distinguishing sea mines from other scatterers. These results suggest that such pulse processing schemes would potentially also be operable with other electromagnetic radiations (MRI, LIDAR etc.).

For these schemes to work, the amplitude of the pulse incident on the target must be sufficiently high at the target. In many applications, this might suggest bistatic operation with remotely deployable sources, placing the source sufficiently close to the target to

excite nonlinear scatter which is then detected remotely.

The propositions that humpback whales generate a wall of sound when hunting with bubble nets, and that (when echolocating in bubbly water) dolphins exploit the nonlinearity in scattering that a bubble can produce, are unproven. Nevertheless these propositions have provided narratives that have stimulated outreach to the public for engineering and science in TV shows, school visits, science exhibitions, and to accompany whale watching tours. Although they are only speculative, both narratives now appear accepted in the media and popular science articles, despite the fact that, following publication by the authors drawing attention to the extraordinary implications of the video of dolphins bubble netting (LEIGHTON, 2004), the authors could find no observations of this in the peer-reviewed literature other than a brief mention in ACEVEDO *et al.* (2011), a text otherwise devoted to humpback whales, for which there is ample evidence of bubble netting (SHARPE, DILL, 1997; RENDELL, WHITEHEAD, 2001; VALSECCHI *et al.*, 2002), but not of the formation of ‘walls of sound’. This contrasts with the phenomenon of dolphins blowing bubble rings, which is well-reported (GEWALT, 1989; MARTEN *et al.*, 1996; READ *et al.*, 2003) perhaps because it needs only visual measurements (as opposed to high frequency acoustics) and can be observed in captivity, whereas dolphin bubble netting has been observed only in the wild and with large pods. Certainly questions remain as to whether dolphins do exploit such processing, primarily in terms of whether they possess the requisite receiver bandwidth. Such questions will not be answered by this team with the current funding record. However from the speculation behind these propositions, this paper records the development of the only two sonars that are currently capable of detecting objects in bubbly waters of for example large ship wakes. Furthermore, we have shown the potential for a radar version of this technology to detect targets of interest and discriminate them from clutter.

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